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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

TRANSMITTAL FORM Filing Date Coclober 9, 2003 Filing Date Filing Date Coclober 9, 2003 First Named Inventor Sherrill Art Unit 1623 Examiner Name Michael C. Henry Attempt Docket Number of Pages in This Submission Total Number of Pages in This Submission Attempt Docket Number of Pages in This Submission Total Number of Pages in This Submission in Total Number of Total Number of Pages in This Submission in Total Number of Pages in This Submission in Total Number of Pages in This Submission in Total Number of Pages in Total Number of Pages in Total Number of Total Number of Pages in Total N	Under the Paperwork Reduction	on Act of 1995, no persons	U.S. are required to respond to a co	Patent and Tr	rademark Office;	U.S. DEPARTMENT OF COMMERCE displays a valid OMB control number.
Fee Transmittal Form	TRANSMIT FORM	TTAL Ince after initial filling) Submission	Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number	10/683, October Sherrill 1623 Michael 010072	952 r 9, 2003 I C. Henry	
Signature Printed name Vuan Chao Date March 28, 2005 Reg. No. 32,118 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature When the date shown below:	Fee Attached Amendment/Reply After Final Affidavits/declara Extension of Time Requ Express Abandonment I Supplemental Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing P	ration(s) Request Remark	rawing(s) icensing-related Papers Petition etition to Convert to a rovisional Application ower of Attorney, Revocation hange of Correspondence A erminal Disclaimer equest for Refund D, Number of CD(s) Landscape Table on Cl	n ddress	After A Appea of App Appea (Appea Supple Status Other B Postcard	al Communication to Board beats and Interferences I Communication to TC I Notice, Brief, Reply Brief) mental Applicaton Data Sheet Letter
Date March 28, 2005 Reg. No. 32,118 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature	Oire et la constant de la constant d		F APPLICANT, ATTO	RNEY, O	R AGENT	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature	Printed name uan Cha	0		Reg. No.	32,118	
LIMOR OF DERIVOR DOME A LIVERLY HILLERY	sufficient postage as first class n the date shown below: Signature	ondence is being facsim mail in an envelope addr	nile transmitted to the USPT	O or deposi	ted with the Un	ited States Postal Service with Alexandria, VA 22313-1450 on March 28, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Appl'n of:

Sherrill et al.

Att'y Ref. No.:

010072.02

Appl'n No.:

10/683,952

Filed:

Oct. 9, 2003

Group Art Unit:

1623

Examiner:

Michael C. Henry

Title: THERAPEUTIC FORMULATIONS

Mailing Address:

Kosan Biosciences, Inc.

3832 Bay Center Place

Hayward, CA 94545

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This Supplemental Information Disclosure Statement ("SIDS") is submitted to the Patent Office in accordance with the duty of candor and good faith under 37 CFR §1.56. The Examiner is requested to consider the cited information in the examination of this application.

The cited information is listed in the accompanying list, submitted in duplicate. Each item of information is identified there by a unique cite number (A01, A02, ..., etc.) The Examiner is requested to return an initialed and signed copy of the list, indicating whether each item of cited information has been considered or not.

Copies of US patents and published applications are not being furnished with this SIDS, in accordance with the revisions to 37 CFR §1.98(2) as announced in the Federal Register, vol. 69, no. 182, pp. 56482-56547 (Sep. 21, 2004). If the Examiner should need copies of any of such patents or patent applications, they will be provided upon request.

١	CERTIFICATE OF MAILING UNDER 37 CFR §1.8
l	I hereby certify that this correspondence is being deposited with the United States Postal Service on the date given below
	with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450,
ı	Alexandria, VA 22313-1450.
	Name of person signing certificate: Nancy Impey
l	Name of person signing certificate:
1	l ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '
Ì	Signature: 1 28/2005
ı	

Page 2

Each item of information in this SIDS was cited in the Search Report for a PCT

counterpart of this application (PCT application no. PCT/US2004/33339) not more than three

months prior to the filing of this SIDS. A copy of the Search Report, bearing a mailing date of

16 February 2005, is enclosed.

In addition to the items disclosed in this SIDS, the PCT Search Report also cited

Hofmann et al., US 6,194,181 B1, already of record.

It is believed that no fee is required in connection with this SIDS. However, in the event

a fee is required, the Commissioner is authorized to charge such fee (including but not limited to

any fee(s) that might be required under 37 C.F.R. §§1.16, 1.17, or 1.18) against Deposit Account

No. 50-2544.

The citation of a particular item of information is not intended to be, and should not be

construed as, an admission that such item of information is material to patentability as defined in

37 CFR §1.56 or qualifies as "prior art" within the meaning of applicable law. The materiality

and "prior art" status of any item of information is a matter to be resolved during examination.

Consideration of the cited information in the examination of this application is

respectfully requested.

Respectfully submitted,

Mar. 28, 2005
Date

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Sheet 1 of	1
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IN AN APPLICATION: LIST OF ART CITED

Docket No.	Application Number:
010072.02	10/683,952
First Named Applicant:	
Michael J. Sherrill	
Filing Date:	Group Art Unit:
Oct. 9, 2003	1623

US PATENTS AND PUBLISHED APPLICATIONS					
Examiner Initial	Item No.	Document Number	Issue or Publication Date	Name	Cited at (if cited in specification)
	A01	US 6,380,227 B1	Apr. 30, 2002	Mutz	
	A02	US 6,380,395 B1	Apr. 30, 2002	Vite et al.	

US UNPUBLISHED APPLICATIONS					
Examiner Initial	Item No.	Document Number	Filing Date	Name	Cited at (if cited in specification)

		FOREIG	N PATENT	DOCUMENTS		
Examiner Initial	Item No.	Document Number	Date	Country	Translation enclosed?)	Cited at (if cited in specification

		OTHER DOCUMENTS (Author, Title, Date, Pertiner,	t Pages, etc.)	
Examiner Initial	Item No.	Details of Document	Translation enclosed?	Cited at (if cited in specification

**** END OF LIST ****

Examiner	Date considered